

Modular composition via complex roots

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Modular composition is the problem to compute the composition of two univariate polynomials modulo a third one. For polynomials with coefficients in a finite field, Kedlaya and Umans proved in 2008 that the theoretical complexity for performing this task could be made arbitrarily close to linear. Unfortunately, beyond its major theoretical impact, this result has not led to practically faster implementations yet. In this paper, we explore the particular case when the ground field is the field of computable complex numbers. Ultimately, when the precision becomes sufficiently large, we show that modular compositions may be performed in softly linear time.

1. INTRODUCTION

Let \mathbb{K} be an effective field, and let f, g, h be polynomials in $\mathbb{K}[x]$. The problem of *modular composition* is to compute $g \circ f$ modulo h . Modular composition is an important problem in complexity theory because of its applications to polynomial factorization [14, 15, 16]. It also occurs very naturally whenever one wishes to perform polynomial computations over \mathbb{K} inside an algebraic extension of \mathbb{K} . In addition, given two different representations $\mathbb{K}[x] / (h(x)) \cong \mathbb{K}[\tilde{x}] / (\tilde{h}(\tilde{x}))$ of an algebraic extension of \mathbb{K} , the implementation of an explicit isomorphism actually boils down to modular composition.

Denote by $M(n)$ the number of operations in \mathbb{K} required to multiply two polynomials of degree $< n$ in $\mathbb{K}[x]$. Let f, g and h be polynomials in $\mathbb{K}[x]$ of respective degrees $< n$, $< n$ and n . The naive modular composition algorithm takes $O(nM(n))$ operations in \mathbb{K} . In 1978, Brent and Kung [3] gave an algorithm with cost $O(\sqrt{n}M(n) + n^2)$. It uses the *baby-step giant-step* technique due to Paterson and Stockmeyer [21], and even yields a sub-quadratic cost $O(n^\varpi + \sqrt{n}M_{\mathbb{K}}(n))$ when using fast linear algebra (see [13, p. 185]). The constant $\varpi > 1.5$ is such that a $\sqrt{n} \times \sqrt{n}$ matrix over \mathbb{K} can be multiplied with another $\sqrt{n} \times n$ rectangular matrix in time $O(n^\varpi)$. The best current bound $\varpi < 1.6667$ is due to Huang and Pan [12, Theorem 10.1].

A major breakthrough has been achieved by Kedlaya and Umans [15, 16] in the case when \mathbb{K} is the finite field \mathbb{F}_q . For any positive $\varepsilon > 0$, they showed that the composition $g \circ f$ modulo h could be computed with bit complexity $O((n \log q)^{1+\varepsilon})$. Unfortunately, it remains a major open problem to turn this theoretical complexity bound into practically useful implementations.

Quite surprisingly, the existing literature on modular composition does not exploit the simple observation that composition modulo a separable polynomial $h \in \mathbb{K}[x]$ that splits over \mathbb{K} can be reduced to the well known problems of multi-point evaluation and interpolation [6, Chapter 10]. More precisely, assume that $h = (x - \sigma_1) \cdots (x - \sigma_n)$ is separable, which means that $\gcd(h, h') = 1$. If $f, g \in \mathbb{K}[x]$ are of degree $< n$, then $g \circ f \bmod h$ can be computed by evaluating f at $\sigma_1, \dots, \sigma_n$, by evaluating g at $f(\sigma_1), \dots, f(\sigma_n)$, and by interpolating the evaluations $(g \circ f)(\sigma_1), \dots, (g \circ f)(\sigma_n)$ to yield $g \circ f \bmod h$.

Whenever \mathbb{K} is algebraically closed and a factorization of h is known, the latter observation leads to a softly-optimal algorithm for composition modulo h . More generally, if the computation of a factorization of h has a negligible or acceptable cost, then this approach leads to an efficient method for modular composition. In this paper, we prove a precise complexity result in the case when \mathbb{K} is the field of computable complex numbers. In a separate paper [11], we also consider the case when \mathbb{K} is a finite field and h has composite degree; in that case, h can be factored over suitable field extensions, and similar ideas lead to improved complexity bounds.

In the special case of power series composition (i.e. composition modulo $h = x^n$), our approach is similar in spirit to the analytic algorithm designed by Ritzmann [22]; see also [8]. In order to keep the exposition as simple as possible in this paper, we only study composition modulo separable polynomials. By handling multiplicities with Ritzmann's algorithm, we expect our algorithm to extend to the general case.

The organization of the present paper is as follows. In section 2, we specify the complexity model to be used, and various standard notations. In section 3, we give a detailed version of the modular composition algorithm that we sketched above for a separable modulus that splits over \mathbb{K} . In order to instantiate this algorithm for the field \mathbb{C}^{com} of computable complex numbers, we need additional concepts. In section 4, we recall basic results about *ball arithmetic* [9]. In section 5, we recall the computation model of *straight-line programs* [4]. In section 6, we introduce a new *ultimate complexity model* that is convenient for proving complexity results at a “sufficiently large precision”. This model has the advantage that complexity results over an abstract effective field \mathbb{K} can naturally be turned into ultimate complexity results over \mathbb{C}^{com} . In section 7, we apply this transfer principle to the modular composition algorithm from section 3—we expect our framework to be useful in many other situations.

One disadvantage of ultimate complexity analysis is that it does not provide us with any information about the precision from which the ultimate complexity is reached. In practical applications, the input polynomials f , g and h often admit integer or rational coefficients. In these cases, the required bit precision is expected to be of order $n(l + n)$ in the worst case, where $n = \deg h$ and l is the largest bit size of the coefficients: in fact, this precision allows to compute all the complex roots of h efficiently using algorithms from [18, 19, 24]. This precision should also be sufficient to perform the multi-point polynomial evaluations of g and f by asymptotically fast algorithms. We intend to work out more such detailed bit complexity bounds for this situation in a forthcoming paper.

2. PRELIMINARIES

In the sequel, we consider both the algebraic and bit complexity models for analyzing the costs of our algorithms. The *algebraic complexity model* expresses the running time in terms of the number of operations in some abstract ground ring or field [4, Chapter 4]. The *bit complexity model* relies on Turing machines with a sufficient number of tapes [20].

Fundamental algebraic complexity bounds. Let \mathbb{K} be an effective field. We write $M: \mathbb{N} \rightarrow \mathbb{R}^>$ for a function that bounds the total cost of a polynomial product algorithm in terms of the number operations in \mathbb{K} . In other words, two polynomials of degrees n in $\mathbb{K}[x]$ can be multiplied using at most $M(n)$ arithmetic operations in \mathbb{K} . The schoolbook algorithm allows us to take $M(n) = O(n^2)$. The fastest currently known algorithm [5] yields $M(n) = O(n \log n \log \log n) = \tilde{O}(n)$. Here, the *soft-Oh* notation $f(n) \in \tilde{O}(g(n))$ means that $f(n) = g(n) \log^{O(1)} g(n)$ (we refer the reader to [6, Chapter 25, Section 7] for technical details). In order to simplify the cost analysis of our algorithms we make the customary assumption that $M(n_1)/n_1 \leq M(n_2)/n_2$ for all $0 < n_1 \leq n_2$. Notice that this assumption implies the *super-additivity* of M , namely $M(n_1) + M(n_2) \leq M(n_1 + n_2)$ for all $n_1 \geq 0$ and $n_2 \geq 0$.

Fundamental bit complexity bounds. For bit complexity analyses, we consider Turing machines with sufficiently many tapes. We write $l(n)$ for a function that bounds the bit-cost of an algorithm which multiplies two integers of bit sizes at most n , for the usual binary representation. The best known bound [7] for $l(n)$ is $O(n \log n 8^{\log^* n}) = \tilde{O}(n)$. Again, we make the customary assumption that $l(n)/n$ is nondecreasing.

Multipoint evaluation and interpolation. Let \mathbb{K} again be an effective field. The *remainder* (resp. *quotient*) of the Euclidean division of g by h in $\mathbb{K}[x]$ is denoted by $g \bmod h$ (resp. by $g \text{ quo } h$). It may be computed using $O(M(n))$ operations in \mathbb{K} , if g and h have degrees $\leq n$. We recall that the gcd of two polynomials of degrees at most n over \mathbb{K} can be computed using $O(M(n) \log n)$ operations in \mathbb{K} [6, Algorithm 11.4]. Given polynomials f and g_1, \dots, g_l over \mathbb{K} with $\deg f = n$ and $\deg g_1 + \dots + \deg g_l = O(n)$, all the remainders $f \bmod g_i$ may be computed simultaneously in cost $O(M(n) \log l)$ using a *subproduct tree* [6, Chapter 10]. The inverse problem, called *Chinese remaindering*, can be solved with a similar cost $O(M(n) \log l)$, assuming that the g_i are pairwise coprime. The fastest known algorithms for these tasks can be found in [1, 2, 10].

3. ABSTRACT MODULAR COMPOSITION IN THE SEPARABLE CASE

For any field \mathbb{K} and $n \in \mathbb{N}$, we denote

$$\mathbb{K}[x]_{<n} := \{P \in \mathbb{K}[x] : \deg P < n\}.$$

In this section, \mathbb{K} represents an abstract algebraically closed field of constants. Let $h = x^n + h_{n-1}x^{n-1} + \dots + h_0 \in \mathbb{K}[x]$ be a separable monic polynomial, so h admits n pairwise distinct roots $\sigma_1, \dots, \sigma_n$ in \mathbb{K} . Then we may use the following algorithm for composition modulo h :

Algorithm 1

Input. Polynomials $f, g \in \mathbb{K}[x]_{<n}$ and pairwise distinct $\sigma_1, \dots, \sigma_n \in \mathbb{K}$.

Output. $f \circ g \bmod h$, where $h = (x - \sigma_1) \cdots (x - \sigma_n)$.

1. Compute $v_1 = f(\sigma_1), \dots, v_n = f(\sigma_n)$ using fast multi-point evaluation.
2. Compute $w_1 = g(v_1), \dots, w_n = g(v_n)$ using fast multi-point evaluation.
3. Retrieve $\varrho \in \mathbb{K}[x]_{<n}$ with $\varrho(\sigma_1) = v_1, \dots, \varrho(\sigma_n) = v_n$ using fast interpolation.
4. Return ϱ .

THEOREM 1. *Algorithm 1 is correct and requires $O(M(n) \log n)$ operations in \mathbb{K} .*

Proof. By construction, $\varrho(\sigma_i) = (g \circ f)(\sigma_i) = (g \circ f \bmod h)(\sigma_i)$ for $i = 1, \dots, n$. Since $\deg \varrho < n$ and the σ_i are pairwise distinct, it follows that $\varrho = g \circ f \bmod h$. This proves the correctness of the algorithm. The complexity bound follows from the fact that steps 1, 2 and 3 take $O(M(n) \log n)$ operations in \mathbb{K} . \square

We wish to apply the theorem in the case when $\mathbb{K} = \mathbb{C}$. Of course, on a Turing machine, we can only approximate complex numbers with arbitrarily high precision, and likewise for the field operations in \mathbb{C} . For given numbers x and y , approximations at precision p for $x + y$, $x - y$, $x \times y$ and x/y (whenever $y \neq 0$) can all be computed in time $O(l(p))$. In view of Theorem 1, it is therefore natural to ask whether p -bit approximations of the coefficients of $g \circ f \bmod h$ may be computed in time $O(l(p)M(n) \log n)$.

In the remainder of this paper we give a positive answer to a carefully formulated version of this question. Our first task is to make the concept of “approximations at precision p ” more precise and to understand the way errors accumulate when performing a sequence of computations at precision p . We rely on “fixed point ball arithmetic” for this matter, as described in the next subsection. At a second stage, we prove a complexity bound for modular composition that holds for a fixed modulus h with known roots $\sigma_1, \dots, \sigma_n$ and for sufficiently large working precisions p .

The assumption that the roots $\sigma_1, \dots, \sigma_n$ of h are known is actually quite harmless in this context for the following reason: as soon as approximations for $\sigma_1, \dots, \sigma_n$ are known at a sufficiently high precision, the computation of even better approximations can be done fast using Newton's method combined with multi-point evaluation. Since we are only interested in the complexity for “sufficiently large working precisions”, the computation of the initial approximations of $\sigma_1, \dots, \sigma_n$ can therefore be regarded as a precomputation of negligible cost.

4. BALL ARITHMETIC AND STRAIGHT-LINE PROGRAMS

4.1. Fixed point numbers

Let a be a real number, we write $\lfloor a \rfloor$ for the largest integer less or equal to a and $\lceil a \rceil := \lfloor a + 1/2 \rfloor$ for the closest integer to a .

Given a precision $p \in \mathbb{N}$, we denote by $\mathbb{D}_p = \mathbb{Z} 2^{-p}$ the set of *fixed point numbers* with p binary digits after the dot. This set \mathbb{D}_p is clearly stable under addition and subtraction. We can also define approximate multiplication \times_p on \mathbb{D}_p using $x \times_p y = \lfloor 2^p x y \rfloor 2^{-p}$, so $|x \times_p y - x y| \leq 2^{-p-1}$ for all $x, y \in \mathbb{D}_p$.

For any fixed constant $K > 0$ and $x, y \in \mathbb{D}_p \cap [-K, K]$, we notice that $x + y$ and $x - y$ can be computed in time $O(p)$, whereas $x \times_p y$ can be computed in time $l(p) + O(p)$. Similarly, one may define an approximate inversion ι_p on $\mathbb{D}_p^\neq := \mathbb{D}_p \setminus \{0\}$ by $\iota_p(x) = \lfloor 2^p x^{-1} \rfloor 2^{-p}$. For any fixed constant $K > 0$ and $x \in \mathbb{D}_p^\neq \cap [-K, K]$, we may compute $\iota_p(x)$ in time $O(l(p))$.

4.2. Fixed point ball arithmetic

Ball arithmetic is used for providing reliable error bounds for approximate computations. A *ball* is a set $\mathcal{B}(c, r) = \{z \in \mathbb{R} : |z - c| \leq r\}$ with $c \in \mathbb{R}$ and $r \in \mathbb{R}^{\geq}$. From the computational point of view, we represent such balls by their centers c and radii r . We denote by \mathbb{B}_p the set of balls with centers in \mathbb{D}_p and radii in \mathbb{D}_p^{\geq} . Given vectors $x = (x_1, \dots, x_n) \in \mathbb{R}^n$ and $\mathbf{x} = (\mathbf{x}_1, \dots, \mathbf{x}_n) = (\mathcal{B}(c_1, r_1), \dots, \mathcal{B}(c_n, r_n)) \in \mathbb{B}_p^n$ we write $x \in \mathbf{x}$ to mean $x_1 \in \mathbf{x}_1 \wedge \dots \wedge x_n \in \mathbf{x}_n$, and we also set $\text{rad}(\mathbf{x}) := \max(r_1, \dots, r_n)$.

Let D be an open subset of \mathbb{R}^n . We say that $\mathbf{D}_p \subseteq \mathbb{B}_p^n$ is a *domain lift* at precision p if $\mathbf{x} \subseteq D$ for all $\mathbf{x} \in \mathbf{D}_p$. The maximal such lift is given by $\mathbf{D}_p = \{\mathbf{x} \in \mathbb{B}_p^n : \mathbf{x} \subseteq D\}$. Given a function $f: D \rightarrow \mathbb{R}^m$, a *ball lift* of f at precision p is a function $\mathbf{f}_p: \mathbf{D}_p \rightarrow \mathbb{B}_p^m$, where $\mathbf{D}_p = \text{dom } \mathbf{f}_p$ is a domain lift of D at precision p , that satisfies the *inclusion property*: for any $\mathbf{x} = (\mathbf{x}_1, \dots, \mathbf{x}_n) \in \mathbf{D}_p^n$ and $x = (x_1, \dots, x_n) \in \mathbb{R}^n$, we have

$$x \in \mathbf{x} \implies f(x) \in \mathbf{f}_p(\mathbf{x}).$$

A *ball lift* \mathbf{f} of f is a computable sequence $(\mathbf{f}_p)_{p \in \mathbb{N}}$ of ball lifts at every precision such that for any sequence $(\mathbf{x}_p)_{p \in \mathbb{N}}$ with $\mathbf{x}_p \in \text{dom } \mathbf{f}_p$, we have

$$\lim_{p \rightarrow \infty} \text{rad}(\mathbf{x}_p) = 0 \wedge \bigcap_{p \in \mathbb{N}} \mathbf{x}_p \neq \emptyset \implies \lim_{p \rightarrow \infty} \text{rad}(\mathbf{f}_p(\mathbf{x}_p)) = 0.$$

This condition implies the following:

$$\lim_{p \rightarrow \infty} \text{rad}(\mathbf{x}_p) = 0 \wedge \bigcap_{p \in \mathbb{N}} \mathbf{x}_p = \{x\} \implies \bigcap_{p \in \mathbb{N}} \mathbf{f}_p(\mathbf{x}_p) = \{f(x)\}.$$

We say that \mathbf{f} is *maximal* if $\text{dom } \mathbf{f}_p$ is the maximal domain lift for each p . Notice that a function f must be continuous in order to admit a maximal ball lift.

The following formulas define maximal ball lifts \oplus_p , \ominus_p and \otimes_p at precision p for the ring operations $+$, $-$ and \times :

$$\begin{aligned} \mathcal{B}(a, r) \oplus_p \mathcal{B}(b, s) &:= \mathcal{B}(a + b, r + s) \\ \mathcal{B}(a, r) \ominus_p \mathcal{B}(b, s) &:= \mathcal{B}(a - b, r + s) \\ \mathcal{B}(a, r) \otimes_p \mathcal{B}(b, s) &:= \mathcal{B}(a \times_p b, (|a| + r) \times_p s + |b| \times_p s + 2^{1-p}). \end{aligned}$$

The extra 2^{1-p} in the formula for multiplication is needed in order to counter the effect of rounding errors that might occur in the three multiplications $a \times_p b$, $(|a| + r) \times_p s$ and $|b| \times_p s$. For $\mathcal{B}(a, r) \in \mathbb{B}_p$ with $r < |a|$, the following formula also defines a maximal ball lift ι_p at precision p for the inversion:

$$\iota_p(\mathcal{B}(a, r)) := \mathcal{B}(\iota_p(a), \iota_p(|a| - r) - \iota_p(|a|) + 2^{1-p}).$$

For any fixed constant $K > 0$ and $a, r, b, s \in \mathbb{D}_p \cap [-K, K]$, we notice that $\mathcal{B}(a, r) \oplus_p \mathcal{B}(b, s)$, $\mathcal{B}(a, r) \ominus_p \mathcal{B}(b, s)$, $\mathcal{B}(a, r) \otimes_p \mathcal{B}(b, s)$ and $\iota_p(\mathcal{B}(a, r))$ can be computed in time $O(1(p))$.

Let \mathbf{f} be the ball lift of a function $f: D \rightarrow \mathbb{R}^m$ with $D \subseteq \mathbb{R}^n$. Consider a second ball lift \mathbf{g} of a function $g: E \rightarrow \mathbb{R}^l$ with $f(D) \subseteq E \subseteq \mathbb{R}^m$. Then we may define a ball lift $\mathbf{g} \circ \mathbf{f}$ of the composition $g \circ f: D \rightarrow \mathbb{R}^l$ as follows. For each precision p , we take $(\mathbf{g} \circ \mathbf{f})_p = \mathbf{g}_p \circ (\mathbf{f}_p)|_{D_p}$, where $(\mathbf{f}_p)|_{D_p}$ is the restriction of \mathbf{f}_p to the set $D_p = \{\mathbf{x} \in \text{dom} \mathbf{f}_p : \mathbf{f}_p(\mathbf{x}) \in \text{dom} \mathbf{g}_p\}$.

We shall use ball arithmetic for the computation of complex functions $\mathbb{C}^n \rightarrow \mathbb{C}^m$ simply through the consideration of real and imaginary parts. This point of view is sufficient for the asymptotic complexity point of view of the present paper. Of course, it would be more efficient to directly compute with complex balls (i.e. balls with a complex center and a real radius), but this would involve approximate square roots and ensuing technicalities.

4.3. The Lipschitz property

Assume that we are given the ball lift \mathbf{f} of a function $f: D \rightarrow \mathbb{R}^m$ with $D \subseteq \mathbb{R}^n$. Given a subset $U \subseteq D$ and constants $\lambda \geq 0$, $\mu \geq 0$, we say that the ball lift \mathbf{f} is (λ, μ) -Lipschitz on U if

$$\begin{aligned} \exists p_0 \in \mathbb{N}, \exists \varrho > 0, \forall p \geq p_0, \forall \mathbf{x} \in \mathbb{B}_p^n, \\ \mathbf{x} \subseteq U \wedge \text{rad}(\mathbf{x}) \leq \varrho \implies \mathbf{x} \in \text{dom} \mathbf{f}_p \wedge \text{rad}(\mathbf{f}_p(\mathbf{x})) \leq \lambda \text{rad}(\mathbf{x}) + \mu 2^{-p}. \end{aligned}$$

For instance, the ball lifts \oplus and \ominus of addition and subtraction are $(2, 0)$ -Lipschitz on \mathbb{R}^2 . Similarly, the ball lift \otimes of multiplication is $(3\lambda, 3)$ -Lipschitz on $U = \{(x, y) \in \mathbb{R}^2 : |x| \leq \lambda, |y| \leq \lambda\}$ (by taking $\rho = \lambda$), whereas the ball lift ι of ι is $(\lambda, 3)$ -Lipschitz on $U = \{x \in \mathbb{R} : \lambda^{-1/2} \leq |x|\}$.

Given \mathbf{f} and $\lambda > 0$, $\mu \geq 0$ as above, we say that \mathbf{f} is *locally* (λ, μ) -Lipschitz on U if \mathbf{f} is (λ, μ) -Lipschitz on each compact subset of U . We define \mathbf{f} to be λ -Lipschitz (resp. locally λ -Lipschitz) on U if there exists a constant $\mu > 0$ for which \mathbf{f} is (λ, μ) -Lipschitz (resp. locally (λ, μ) -Lipschitz). If \mathbf{f} is locally λ -Lipschitz on U , then it is not hard to see that \mathbf{f} is necessarily locally Lipschitz on U , with Lipschitz constant λ . That is,

$$\forall \mathbf{x} \in U, \exists \eta > 0, \forall a, b \in \mathcal{B}(\mathbf{x}, \eta) \cap U, \|f(b) - f(a)\|_\infty \leq \lambda \|b - a\|_\infty.$$

In fact, the requirement that a computable ball lift \mathbf{f} is λ -Lipschitz implies that we have a means to compute high quality error bounds. We finally define \mathbf{f} to be Lipschitz (resp. locally Lipschitz) on U if there exists a constant $\lambda > 0$ for which \mathbf{f} is λ -Lipschitz (resp. locally λ -Lipschitz).

LEMMA 2. *Let \mathbf{f} be a locally (λ, μ) -Lipschitz ball lift of $f: D \rightarrow \mathbb{R}^m$ on an open set U . Let \mathbf{g} be a locally (λ', μ') -Lipschitz ball lift of $g: E \rightarrow \mathbb{R}^l$ on an open set V . If $f(D) \subseteq E$ and $f(U) \subseteq V$, then $\mathbf{g} \circ \mathbf{f}$ is a locally $(\lambda \lambda', \mu \lambda' + \mu')$ -Lipschitz ball lift of $g \circ f$ on U .*

Proof. Consider a compact subset $C \subseteq U$. Since this implies $f(C)$ to be a compact subset of $f(U) \subseteq V$, it follows that there exists an $\varepsilon > 0$ such that $f(C) + \mathcal{B}(0, \varepsilon) \subseteq V$. Let $p_0 \in \mathbb{N}$, $0 < \varrho < (\varepsilon - \mu 2^{-p}) / \lambda$ and $0 < \varrho' < \varepsilon$ be such that for any $p \geq p_0$, $\mathbf{x} \in \mathbb{B}_p^n$ and $\mathbf{y} \in \mathbb{B}_p^m$, we have

$$\begin{aligned} \mathbf{x} \subseteq C \wedge \text{rad}(\mathbf{x}) \leq \varrho \implies \mathbf{x} \in \text{dom} \mathbf{f}_p \wedge \text{rad}(\mathbf{f}_p(\mathbf{x})) \leq \lambda \text{rad}(\mathbf{x}) + \mu 2^{-p} < \varepsilon \\ (\mathbf{y} \subseteq f(C) + \mathcal{B}(0, \varepsilon)) \wedge \text{rad}(\mathbf{y}) \leq \varrho' \implies \mathbf{y} \in \text{dom} \mathbf{g}_p \wedge \text{rad}(\mathbf{g}_p(\mathbf{y})) \leq \lambda' \text{rad}(\mathbf{y}) + \mu' 2^{-p}. \end{aligned}$$

Given $x \in \mathbb{B}_p^n$ with $x \subseteq C$ and $\text{rad}(x) \leq \varrho$, it follows that $y := f_p(x)$ satisfies $\text{rad}(y) < \varepsilon$, whence $y \subseteq f(C) + \mathcal{B}(0, \varepsilon)$. If we also assume that $\text{rad}(x) \leq (\varrho' - \mu 2^{-p}) / \lambda$, then it also follows that $\text{rad}(y) \leq \varrho'$, whence $y \in \text{dom } g_p$ and $\text{rad}(g_p(y)) \leq \lambda' (\lambda \text{rad}(x) + \mu 2^{-p}) + \mu' 2^{-p} = \lambda \lambda' \text{rad}(x) + (\mu \lambda' + \mu') 2^{-p}$. In other words, if $x \subseteq C$ and $\text{rad}(x) \leq \min(\varrho, (\varrho' - \mu 2^{-p}) / \lambda)$, then $x \in \text{dom}(g_p \circ f_p)$ and $\text{rad}((g_p \circ f_p)(x)) \leq \lambda \lambda' \text{rad}(x) + (\mu \lambda' + \mu') 2^{-p}$. \square

5. STRAIGHT-LINE PROGRAMS

A *signature* is a finite or countable set of function symbols \mathcal{F} together with an arity $r_f \in \mathbb{N}$ for each $f \in \mathcal{F}$. A *model* for \mathcal{F} is a set K together with a function $f_K: U_f \rightarrow K$ with $U_f \subseteq K^{r_f}$ for each $f \in \mathcal{F}$. If K is a topological space, then U_f is required to be an open subset of K^{r_f} . Let \mathcal{V} be a countable and ordered set of variable symbols.

A *straight-line program* Γ with signature \mathcal{F} is a sequence $\Gamma_1, \dots, \Gamma_\ell$ of instructions of the form

$$\Gamma_k \equiv X_k := f_k(Y_{k,1}, \dots, Y_{k,r_{f_k}}),$$

where $f_k \in \mathcal{F}$ and $X_k, Y_{k,1}, \dots, Y_{k,r_{f_k}} \in \mathcal{V}$, together with a subset $\mathcal{O}_\Gamma \subseteq \{X_1, \dots, X_\ell\}$ of *output variables*. Variables that appear for the first time in the sequence in the right-hand side of an instruction are called *input variables*. We denote by \mathcal{I}_Γ the set of input variables. The number ℓ is called the *length* of Γ .

There exist unique sequences $I_1 < \dots < I_n$ and $O_1 < \dots < O_m$ with $\mathcal{I}_\Gamma = \{I_1, \dots, I_n\}$ and $\mathcal{O}_\Gamma = \{O_1, \dots, O_m\}$. Given a model K of \mathcal{F} we can run Γ for inputs in K , provided that the arguments $Y_{k,1}, \dots, Y_{k,r_{f_k}}$ are always in the domain of f_k when executing the instruction Γ_k . Let $D_{\Gamma,K}$ be the set of tuples $I = (I_1, \dots, I_n) \in K^n$ on which Γ can be run. Given $I \in K^n$, let $\Gamma_K(I) \in K^m$ denote the value of (O_1, \dots, O_m) at the end of the program. Hence Γ gives rise to a function $\Gamma_K: D_{\Gamma,K} \rightarrow K^m$.

Now assume that $(\mathbb{R}, (f_{\mathbb{R}})_{f \in \mathcal{F}})$ is a model for \mathcal{F} and that we are given a ball lift f of $f_{\mathbb{R}}$ for each $f \in \mathcal{F}$. Then \mathbb{B}_p is also a model for \mathcal{F} at each precision p , by taking $f_{\mathbb{B}_p} = f_p$ for each $f \in \mathcal{F}$. Consequently, any SLP Γ as above gives rise to both a function $\Gamma_{\mathbb{R}}: D_{\Gamma,\mathbb{R}} \rightarrow \mathbb{R}^m$ and a ball lift $\Gamma_{\mathbb{B}_p}: D_{\Gamma,\mathbb{B}_p} \rightarrow \mathbb{B}_p^m$ at each precision p . The sequence $(\Gamma_{\mathbb{B}_p})_p$ thus provides us with a ball lift $\mathbf{\Gamma}$ for $\Gamma_{\mathbb{R}}$.

PROPOSITION 3. *If the ball lift f of $f_{\mathbb{R}}$ is Lipschitz for each $f \in \mathcal{F}$, then $\mathbf{\Gamma}$ is again Lipschitz.*

Proof. For each model K of \mathcal{F} , for each variable $v \in \mathcal{V}$ and each input $I = (I_1, \dots, I_n) \in D_{\Gamma,K}$, let $v_{K,k}(I)$ denote the value of v after step k . We may regard $v_{K,k}$ as a function from $D_{\Gamma,K}$ to K . In particular, we obtain a computable sequence of functions $v_{\mathbb{B}_p,k}$ that give rise to a ball lift $\mathbf{v}^{(k)}$ of $v_{\mathbb{R},k}$. Let us show by induction over k that $\mathbf{v}^{(k)}$ is Lipschitz for every $v \in \mathcal{V}$. This is clear for $k=0$, so let $k > 0$. If $v \neq X_k$, then we have $\mathbf{v}^{(k)} = \mathbf{v}^{(k-1)}$; otherwise, we have

$$\mathbf{v}^{(k)} = f_k(\mathbf{Y}_{k,1}^{(k-1)}, \dots, \mathbf{Y}_{k,r_{f_k}}^{(k-1)}).$$

In both cases, it follows from Lemma 2 that $\mathbf{v}^{(k)}$ is again a Lipschitz ball lift. We conclude by noticing that $\mathbf{\Gamma} = (\mathbf{O}_1^{(\ell)}, \dots, \mathbf{O}_n^{(\ell)})$. \square

6. COMPUTABLE NUMBERS AND ULTIMATE COMPLEXITY

A real number $x \in \mathbb{R}$ is said to be *computable* if there exists an *approximation algorithm* \check{x} that takes $p \in \mathbb{N}$ on input and produces $\check{x}(p) \in \mathbb{D}_p$ on output with $|x - \check{x}(p)| \leq 2^{-p}$ (we say that $\check{x}(p)$ is a 2^{-p} -approximation of x). We denote by \mathbb{R}^{com} the field of computable real numbers.

Let $T(p)$ be a nondecreasing function. We say that a computable real number $x \in \mathbb{R}^{\text{com}}$ has *ultimate complexity* $T(p)$ if it admits an approximation algorithm \check{x} that computes $\check{x}(p)$ in time $T(p + \delta)$ for some fixed constant $\delta \in \mathbb{N}$. The fact that we allow $\check{x}(p)$ to be computed in time $T(p + \delta)$ and not $T(p)$ is justified by the observation that the position of the ‘‘binary dot’’ is somewhat arbitrary in the approximation process of a computable number.

The notion of approximation algorithm generalizes to vectors with real coefficients: given $v \in (\mathbb{R}^{\text{com}})^n$, an approximation algorithm for v as a whole is an algorithm \check{v} that takes $p \in \mathbb{N}$ on input and returns $\check{v}(p) \in \mathbb{D}_p^r$ on output with $|\check{v}(p)_i - v_i| \leq 2^{-p}$ for $i = 1, \dots, n$. This definition naturally extends to any other mathematical objects that can be encoded by vectors of real numbers: complex numbers (by their real and complex parts), polynomials and matrices (by their vectors of coefficients), etc. The notion of ultimate complexity also extends to any of these objects.

A ball lift f is said to be *computable* if there exists an algorithm for computing f_p for all $p \in \mathbb{N}$. A computable ball lift f of a function $f: D \rightarrow \mathbb{R}^m$ with $D \subseteq \mathbb{R}^n$ allows us to compute the restriction of f to $D \cap (\mathbb{R}^{\text{com}})^n$: given $x \in D \cap (\mathbb{R}^{\text{com}})^n$ with approximation algorithm \check{x} , by taking $\mathbf{x}_p = \mathcal{B}(\check{x}(p), 2^{-p}) \in \mathbb{B}_p^n$, we have $\bigcap_{p \in \mathbb{N}} \mathbf{x}_p = \{x\}$, $\bigcap_{p \in \mathbb{N}} f_p(\mathbf{x}_p) = \{f(x)\}$, and $\lim_{p \rightarrow \infty} \text{rad}(f_p(\mathbf{x}_p)) = 0$.

Let F be a nondecreasing function and assume that D is open. We say that f has *ultimate complexity* $F(p)$ if for every compact set $C \subseteq D$, there exist constants $p_0 \in \mathbb{N}$, $\varrho > 0$ and $\delta \in \mathbb{N}$ such that for any $p \geq p_0$ and $\mathbf{x}_p \in \text{dom} f_p$ with $\mathbf{x}_p \subseteq C$ and $\text{rad}(\mathbf{x}_p) \leq \varrho$, we can compute $f_p(\mathbf{x}_p)$ in time $F(p + \delta)$. For instance, \oplus and \ominus have ultimate complexity $O(p)$, whereas \otimes and ι have ultimate complexity $O(\log(p))$.

PROPOSITION 4. *Assume that f is locally Lipschitz. If f has ultimate complexity $F(p)$ and $x \in D \cap (\mathbb{R}^{\text{com}})^n$ has ultimate complexity $T(p)$, then $f(x)$ has ultimate complexity $T(p) + F(p)$.*

Proof. Let \check{x} be an approximation algorithm for x of complexity $T(p + \delta)$, where $\delta \in \mathbb{N}$. There exist $p_0 \in \mathbb{N}$ and a compact ball C around x with $C \subseteq \text{dom} f$ and such that $\mathbf{x}_p = \mathcal{B}(\check{x}(p), 2^{-p}) \in \mathbb{B}_p^n$ is included in C for all $p \geq p_0$. There also exists a constant $\delta' \in \mathbb{N}$ such that $f_p(\mathbf{x}_p)$ can be computed in time $F(p + \delta')$ for all $p \geq p_0$. Since f is locally Lipschitz, there exists yet another constant $\delta'' \in \mathbb{N}$ such that $\text{rad}(f_p(\mathbf{x}_p)) \leq 2^{\delta'' - p}$ for $p \geq p_0$. For $q = p - \delta'' \geq \max(p_0 - \delta'', 0)$ and $\delta''' = \max(\delta, \delta')$, this shows that we may compute a 2^{-q} -approximation of $f(x)$ in time $T(q + \delta''') + F(q + \delta''')$. \square

PROPOSITION 5. *Assume that f and g are two locally Lipschitz ball lifts of f and g that can be composed. If f and g have respective ultimate complexities $F(p)$ and $G(p)$, then $g \circ f$ has ultimate complexity $F(p) + G(p)$.*

Proof. In a similar way as in the proof of Lemma 2, the evaluation of $(g \circ f)_p(\mathbf{x}_p)$ for $\mathbf{x}_p \in \text{dom} f_p$ with $\mathbf{x}_p \subseteq C$ and $\text{rad}(\mathbf{x}_p) \leq \varrho$ boils down to the evaluation of f_p at \mathbf{x}_p and the evaluation of g_p at $\mathbf{y}_p := f_p(\mathbf{x}_p) \subseteq C' := f(C) + \mathcal{B}(0, \varepsilon)$ with $\text{rad}(\mathbf{y}_p) \leq \varrho'$. Modulo a further lowering of ϱ and ϱ' if necessary, these evaluations can be done in time $F(p + \delta)$ and $G(p + \delta')$ for suitable $\delta, \delta' \in \mathbb{N}$ and sufficiently large p . \square

THEOREM 6. *Assume that \mathbb{R} is a model for the function symbols \mathcal{F} , and that we are given a computable ball lift f of $f_{\mathbb{R}}$ for each $f \in \mathcal{F}$. For each $f \in \mathcal{F}$, assume in addition that f is locally Lipschitz, and let F_f be a nondecreasing function such that f has ultimate complexity $F_f(p)$. Let $\Gamma = \Gamma_1, \dots, \Gamma_\ell$ be an SLP over \mathcal{F} whose k -th instruction Γ_k writes $X_k := f_k(Y_{k,1}, \dots, Y_{k,r_f})$. Then, the ball lift $\mathbf{\Gamma}$ of $\Gamma_{\mathbb{R}}$ has ultimate complexity*

$$F_{\mathbf{\Gamma}}(p) := F_{f_1}(p) + \dots + F_{f_\ell}(p).$$

Proof. This is a direct consequence of Proposition 5. \square

COROLLARY 7. *Let Γ be an SLP of length ℓ over $\mathcal{F} = \{0, 1, +, -, \times, \iota\}$ (where 0 and 1 are naturally seen as constant functions of arity zero). Then, there exists a ball lift $\mathbf{\Gamma}$ of $\Gamma_{\mathbb{R}}$ with ultimate complexity $O(\log(p) \ell)$.*

Proof. We use the ball lifts of section 4 for each $f \in \{+, -, \times, \iota\}$: they are locally Lipschitz and computable with ultimate complexity $O(\log(p))$. We may thus apply the Theorem 6 to obtain $F_{\mathbf{\Gamma}}(p) = O(\log(p) \ell)$. \square

7. ULTIMATE MODULAR COMPOSITION FOR SEPARABLE MODULI

LEMMA 8. *There exists a constant $\kappa > 0$ such that the following assertion holds. Let $f, g \in \mathbb{C}^{\text{com}}[x]_{<n}$, let $\sigma_1, \dots, \sigma_n$ be pairwise distinct elements of \mathbb{C}^{com} , and let $h = (x - \sigma_1) \cdots (x - \sigma_n)$. Assume that $(f_0, \dots, f_{n-1}, g_0, \dots, g_{n-1}, \sigma_1, \dots, \sigma_n)$ has ultimate complexity $T(n, p)$. Then $\varrho = g \circ f \text{ rem } h$ has ultimate complexity $T(n, p) + \kappa l(p) M(n) \log n$.*

Proof. The algorithm for fast multi-point evaluation of a polynomial $P = \sum_{i=0}^{n-1} P_i x^i \in \mathbb{K}[x]_{<n}$ at $\xi_1, \dots, \xi_n \in \mathbb{K}$ can be regarded as an SLP over $\mathcal{F} = \{0, 1, +, -, \times, \iota\}$ of length $O(M(n) \log n)$ that takes $(P_0, \dots, P_{n-1}, \xi_1, \dots, \xi_n) \in \mathbb{K}^{2n}$ on input and that produces $(P(\xi_1), \dots, P(\xi_n)) \in \mathbb{K}^n$ on output. Similarly, the algorithm for interpolation can be regarded as an SLP over \mathcal{F} of length $O(M(n) \log n)$ that takes $(\xi_1, \dots, \xi_n, v_1, \dots, v_n) \in \mathbb{K}^{2n}$ on input and that produces $(P_0, \dots, P_{n-1}) \in \mathbb{K}^n$ on output with $v_1 = P(\xi_1), \dots, v_n = P(\xi_n)$. Altogether, we may regard the entire Algorithm 1 as an SLP Γ over \mathcal{F} of length $O(M(n) \log n)$ that takes $(f_0, \dots, f_{n-1}, g_0, \dots, g_{n-1}, \sigma_0, \dots, \sigma_{n-1}) \in \mathbb{K}^{3n}$ on input and that produces $(\varrho_0, \dots, \varrho_{n-1}) \in \mathbb{K}^n$ on output with $\varrho = g \circ f \text{ rem } h = \sum_{i=0}^{n-1} \varrho_i x^i \in \mathbb{K}[x]_{<n}$. It follows from Corollary 7 that $\Gamma_{\mathbb{R}}$ admits a ball lift Γ of ultimate complexity $O(l(p) M(n) \log n)$. The conclusion now follows from Proposition 4. \square

According to the above lemma, we notice that the time complexity for computing $\varrho = g \circ f \text{ rem } h$ is $T(n, p + \delta)$ for some constant δ that depends on n, f, g , and the σ_i .

LEMMA 9. *There exists a constant $\kappa > 0$ such that the following assertion holds. Let $h \in \mathbb{C}^{\text{com}}[x]$ be separable and monic of degree n , and denote the roots of h by $\sigma = (\sigma_1, \dots, \sigma_n)$. If h has ultimate complexity $T(n, p)$, then σ has ultimate complexity $T(n, p) + \kappa l(p) M(n) \log n$.*

Proof. There are many algorithms for the certified computation of the roots of a separable complex polynomial. We may use any of these algorithms as a “fall back” algorithm in the case that we only need a 2^{-p} -approximation of σ at a low precision p determined by h only.

For general precisions p , we use the following strategy in order to compute a ball $\sigma \in \mathbb{B}_p^n$ with $\sigma \in \sigma$ and $\text{rad}(\sigma) \leq 2^{-\alpha p}$ for some fixed threshold $1/2 < \alpha < 1$. For some suitable $p_0 \in \mathbb{N}$ and $p \leq p_0$, we use the fall back algorithm. For $p > p_0$ and for a second fixed constant $1/2 < \beta < 1$, we first compute a ball enclosure $\tau \in \mathbb{B}_q^n$ at the lower precision $q = \lceil \beta p \rceil$ using a recursive application of the method. We next compute σ using a ball version of the Newton iteration, as explained below. If this yields a ball σ with acceptable radius $\text{rad}(\sigma) \leq 2^{-\alpha p}$, then we are done. Otherwise, we resort to our fall-back method. Such calls of the fall-back method only occur if the default threshold precision p_0 was chosen too low. Nevertheless, we will show that there exists a threshold p_1 such that the computed σ by the Newton iteration always satisfies $\text{rad}(\sigma) \leq 2^{-\alpha p}$ for $p \geq p_1$.

Let us detail how we perform our ball version of the Newton iteration. Recall that $\tau \in \mathbb{B}_q^n$ with $\sigma \in \tau$ and $\text{rad}(\tau) \leq 2^{-\alpha \beta p}$ is given. We also assume that we computed once and for all a 2^{-p} -approximation of h , in the form of a ball polynomial $\mathbf{h}_p \in \mathbb{B}_p[i][x]$ of radius 2^{-p} that contains h . Now we evaluate \mathbf{h}_p and \mathbf{h}'_p at each of the points $\sigma_1, \dots, \sigma_n$ using fast multi-point evaluation. Let us denote the results by $\mathbf{v} = \mathbf{h}_p(\sigma)$ and $\mathbf{w} = \mathbf{h}'_p(\sigma)$. Let τ, ν and ω denote the balls with radius zero and whose centers are the same as for τ, ν and ω . Using vector notation, the Newton iteration now becomes:

$$\sigma = (\tau \ominus_p \iota_p(\mathbf{w}) \otimes_p \nu) \oplus_p (1 \ominus_p \iota_p(\mathbf{w}) \otimes_p \omega) \otimes_p (\tau \ominus_p \tau).$$

If $\sigma \in \tau$, then it is well-known [17, 23] that $\sigma \in \sigma$. Since $\text{rad}(\tau) \leq 2^{-\alpha \beta p}$, the fact that multi-point ball evaluation (used for \mathbf{h}_p and \mathbf{h}'_p) is locally Lipschitz implies the existence of a constant $\delta > 0$ with $\text{rad}(\nu) \leq 2^{\delta - \alpha \beta p}$ and $\text{rad}(\omega) \leq 2^{\delta - \alpha \beta p}$. Since $h'(\sigma_i) \neq 0$ for $i = 1, \dots, n$, there also exists a constant $\delta' > 0$ with $1 - \iota_p(\mathbf{w}) \omega \subseteq \mathcal{B}(0, 2^{\delta' - \alpha \beta p})$. Altogether, this means that there exists a constant $\delta'' > 0$ with $\text{rad}(\sigma) \leq 2^{\delta'' - 2\alpha \beta p}$. Let $p_1 = \lceil \delta'' / (\alpha (2\beta - 1)) \rceil$. Then for any $p \geq p_1$, the Newton iteration provides us with a σ with $\text{rad}(\sigma) \leq 2^{-\alpha p}$.

Let us now analyze the ultimate complexity $C(n, p)$ of our algorithm. For large $p \geq p_1$, the algorithm essentially performs two multi-point evaluations of ultimate cost $\kappa' l(p) M(n) \log n$ for some constant κ' that does not depend on p , and a recursive call. Consequently,

$$C(n, p) \leq \kappa' l(p) M(n) \log n + C(n, \lceil \beta p \rceil).$$

We finally obtain an other constant $\kappa \geq \kappa'$ such that

$$C(n, p) = \kappa l(p) M(n) \log n,$$

by summing up the geometric progression and using the fact that $l(p)/p$ is nondecreasing. The conclusion now follows from Lemma 4. \square

Remark 10. A remarkable feature of the above proof is that the precision p_1 at which the Newton iteration can safely be used does not need to be known in advance. In particular, the proof does not require any *a priori* knowledge about the Lipschitz constants.

THEOREM 11. *There exists a constant $\kappa > 0$ such that the following assertion holds. Let $f, g \in \mathbb{C}^{\text{com}}[x]_{<n}$ and let $h \in \mathbb{C}^{\text{com}}[x]$ be separable and monic of degree n . Assume that (f, g, h) has ultimate complexity $T(n, p)$. Then $g = g \circ f \text{ rem } h$ has ultimate complexity $T(n, p) + \kappa l(p) M(n) \log n$.*

Proof. This is an immediate consequence of the combination of the two above lemmas. \square

8. CONCLUSION AND FINAL REMARKS

With some more work, we expect that all above bounds of the form $O(l(p) M(n) \log n)$ can be lowered to $O(l(n p) \log n)$. Notice that $l(n p) \log n = O(l(p) n \log n)$ for $p \geq n$, when taking $l(p) = \Theta(n \log n 8^{\log^* n})$ [7]. In order to prove this stronger bound using our framework, one might add an auxiliary operation $\times^{[n]}$ for the product of two polynomials of degrees $< n$ to the set of signatures \mathcal{F} . Polynomial products of this kind can be implemented for coefficients in $\mathbb{D}_p[i]$ with $p \geq n$ using Kronecker substitution. For bounded coefficients, this technique allows for the computation of one such product in time $O(l(n p))$. By using Theorem 6, a standard complexity analysis should show that multi-point evaluation and interpolation have ultimate complexity $O(l(n p) \log n)$.

By Theorem 11, the actual bit complexity of modular composition is of the form $T(n, p + \delta) + \kappa l(p + \delta) M(n) \log n$ for some value of δ that depends on f, g, h (hence of n). An interesting problem is to get a better grip on this value δ , which mainly depends on the geometric proximity of the roots of h .

If f, g, h belong to $\mathbb{Q}[x]$, then $T(n, p) = O(n l(p))$ and we may wish to bound δ as a function of n and the maximum bit size l of the coefficients of f, g and h . This would involve bit complexity results for root isolation [18, 19, 24], for multi-point evaluation, and for interpolation. The overall complexity should then be compared with the maximal size of the output, namely $g \circ f \text{ rem } h$, which is in general much larger than the input size.

If h is not separable, but if a separable decomposition is known, then the techniques developed in this paper could be combined with Ritzmann's algorithm for the composition of formal power series [22]. If such a separable decomposition is not known, then it is an interesting problem to obtain a general algorithm for modular composition with a similar complexity (but this seems far beyond the scope of this paper).

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