

Effective elimination for D-algebraic power series equations

Joris van der Hoeven

CNRS, École polytechnique, supported by the ERC ODELIX project

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Polynomial system solving

$$\begin{aligned} x^3 + y^3 + z^3 - 5xy + 7z - 11 &= 0 \\ x^{26} - y^5 + 2025 &= 0 \end{aligned}$$

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More general system solving

$$\begin{aligned} \sin \sin x^3 - \log(1 - y^5 z^7) &= 0 \\ \operatorname{erf}(x^5 e^{y^5 \arctan z}) + J_3(x) y^2 z^3 &= 0 \end{aligned}$$

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- Work with power series at $x = y = z = 0$
- Restrict attention to *differentially algebraic power series*
- Note: other “base points” through analytic continuation

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$$\forall i \in \{1, \dots, k\}, \exists P_i \in \mathbb{K}\left[Y, \frac{\partial Y}{\partial z_i}, \frac{\partial^2 Y}{\partial z_i^2}, \dots\right] \setminus \mathbb{K}, \quad P_i(y) = 0$$

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\exists algorithm for $(i_1, \dots, i_k) \mapsto f_{i_1, \dots, i_k}$

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DART 2024

- Representation of computable D-algebraic power series through non-degenerate annihilators and finite number of initial conditions.

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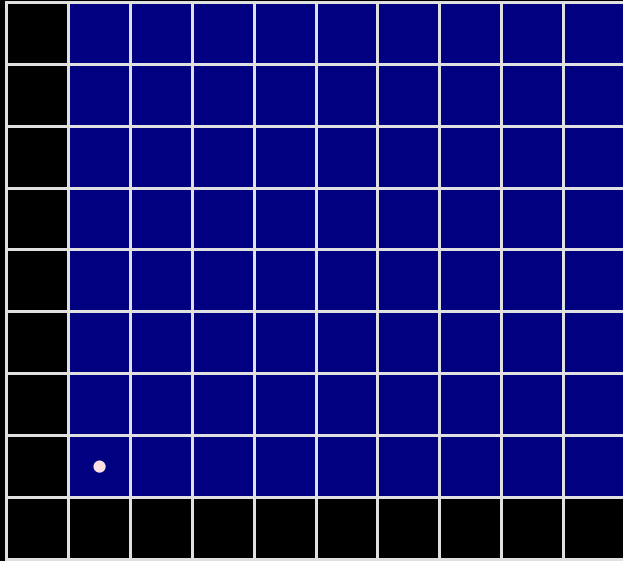
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DART 2024

- Representation of computable D-algebraic power series through non-degenerate annihilators and finite number of initial conditions.
- Zero-tests for D-algebraic power series.

Use standard bases and Hironaka division ?

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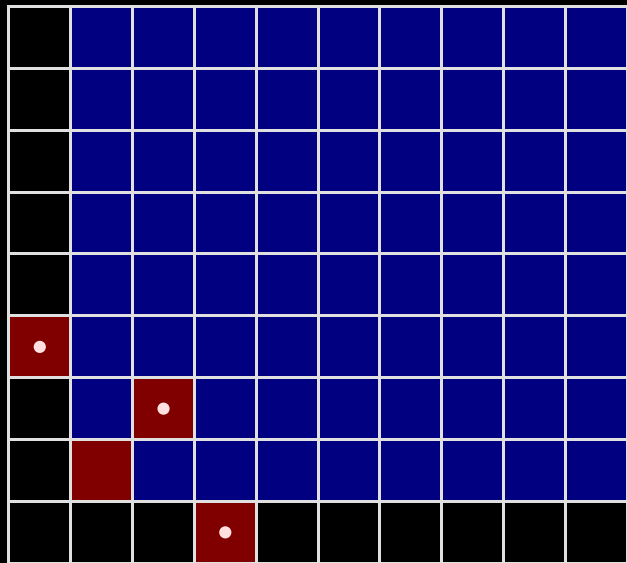


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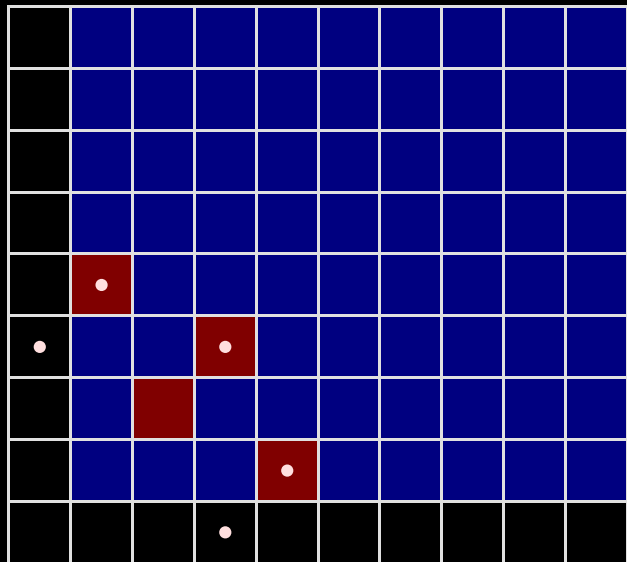
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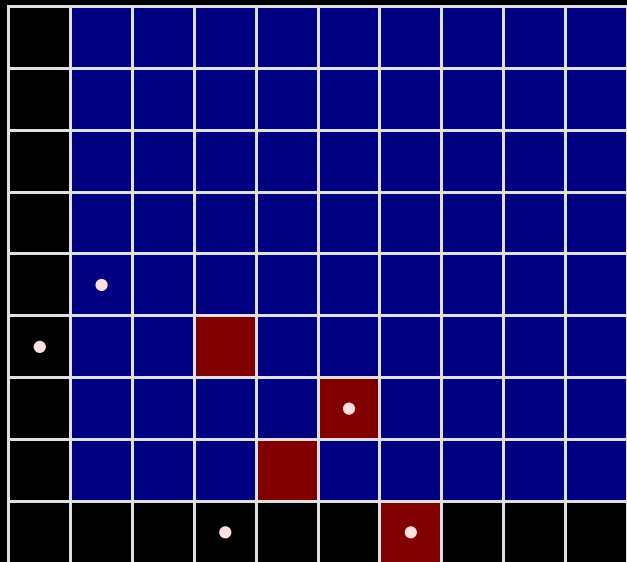


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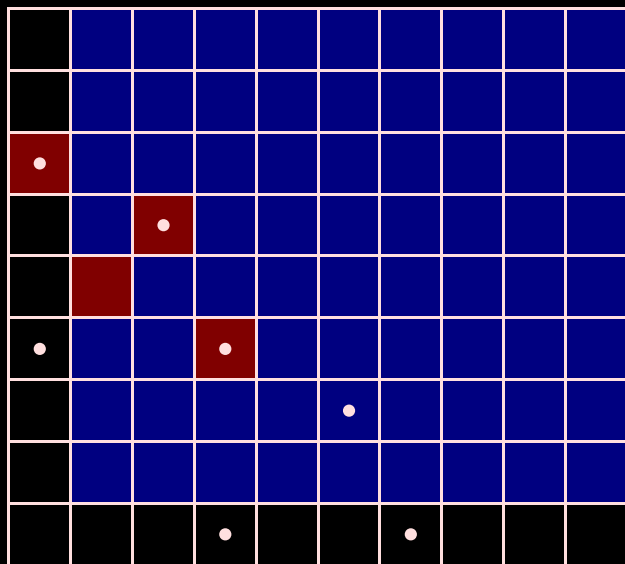
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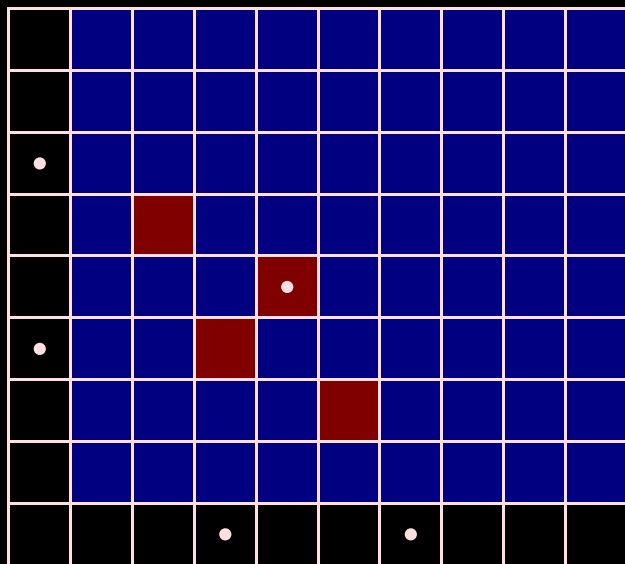
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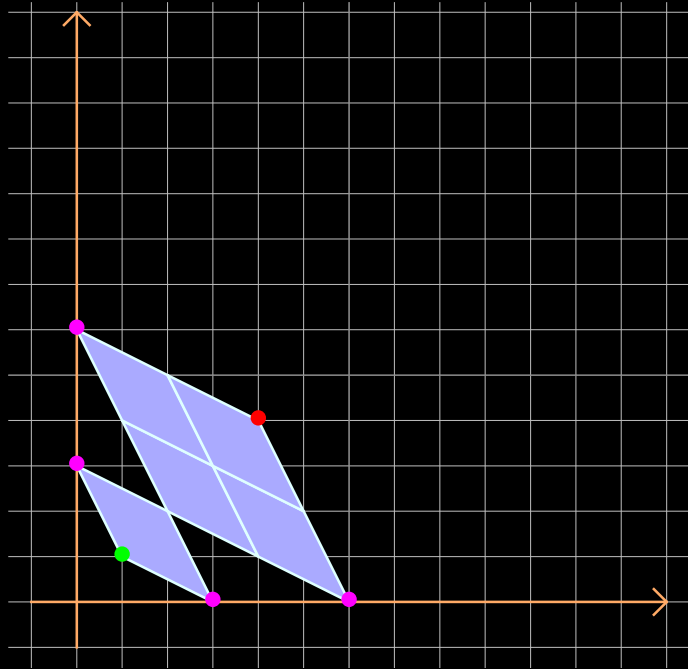
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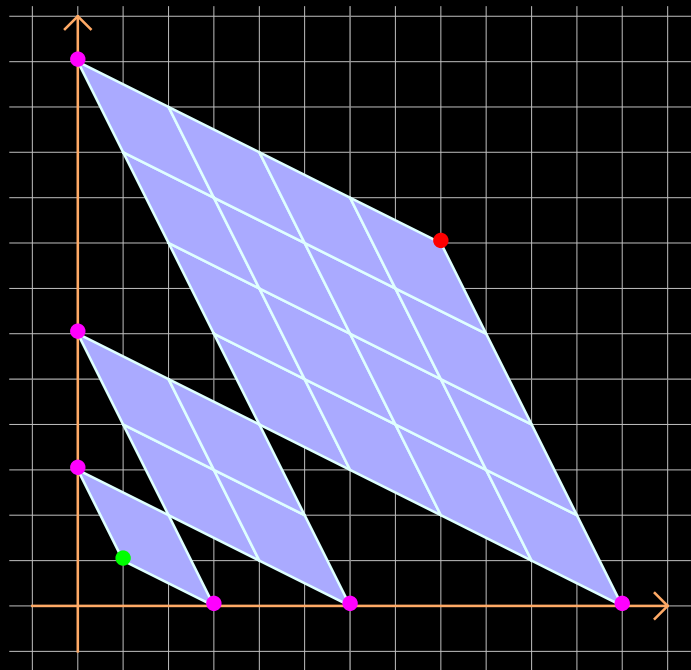
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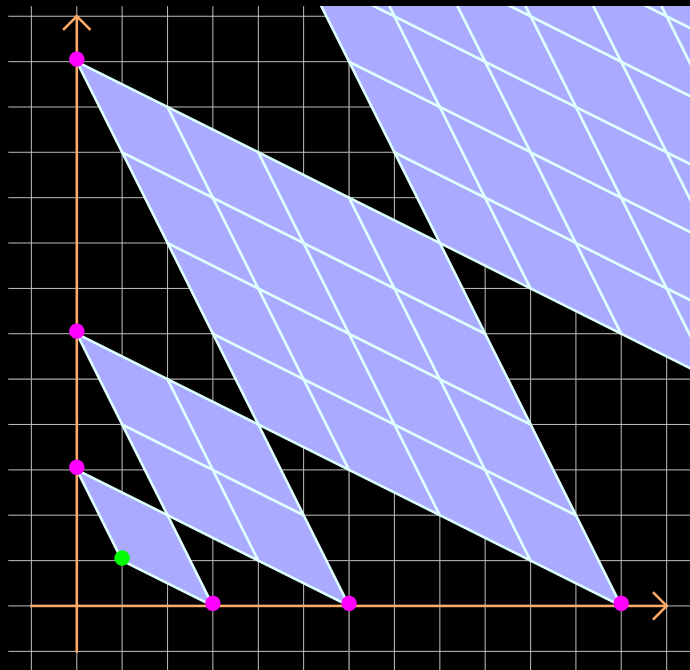
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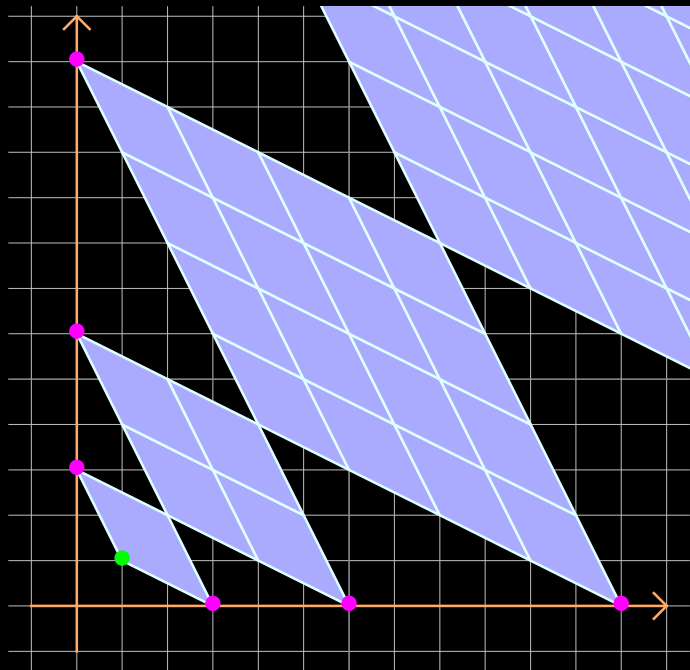
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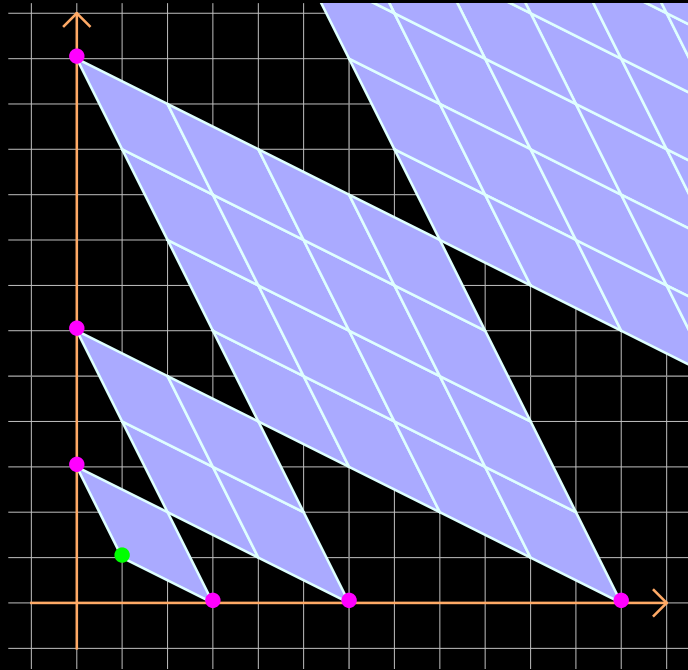
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Problem: the remainder $f \bmod g$ is not algebraic



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Problem: the remainder $f \bmod g$ is not even D-algebraic !

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E.g., $\sin z_1 \in \mathbb{L}_1$ and $\frac{\sin z_1}{z_1} \in \mathbb{K}[[z_1]] \implies \frac{\sin z_1}{z_1} \in \mathbb{L}_1$.

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3. $(\mathbb{L}_k)_{k \in \mathbb{N}}$ is closed under **composition**:

if $f_1 \in \mathbb{L}_m$ and $g_1, \dots, g_m \in \mathbb{L}_k$, then $f \circ (g_1, \dots, g_m) \in \mathbb{L}_k$.

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5. $(\mathbb{L}_k)_{k \in \mathbb{N}}$ is closed under **restricted monomial transformations**.

E.g., $f := e^{z_2^2} \sin(z_1 z_2) \in \mathbb{L}_2$ and $g := f\left(\frac{z_1}{\sqrt{z_2}}, \sqrt{z_2}\right) \in \mathbb{K}[[z_1, z_2]] \implies g \in \mathbb{L}_2$.

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We say that $(\mathbb{L}_k)_{k \in \mathbb{N}}$ forms a **tribe**. It is **effective** if all these operations can be carried out algorithmically and if we have a zero-test.

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Weierstrass preparation theorem

Let $f \in \mathbb{L}_k$ be in Weierstrass position of degree d in z_k :

$$f(0) = \frac{\partial f}{\partial z_k}(0) = \cdots = \frac{\partial^{d-1} f}{\partial z_k^{d-1}}(0) = 0, \text{ but } \frac{\partial^d f}{\partial z_k^d}(0) \neq 0.$$

Then we may compute a unit $u \in \mathbb{L}_k$ with $uf = z_k^d + P_{d-1}z_k^{d-1} + \cdots + P_0 \in \mathbb{L}_{k-1}[z]$.

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Weierstrass division theorem

In addition, if $g \in \mathbb{L}_k$, then we may compute (the unique) $Q \in \mathbb{L}_k$ and $R \in \mathbb{L}_{k-1}[z_k]$ with $g = Qf + R$ and $\deg_{z_k} R < d$.

A **monomial monoid** is \approx a multiplicative monoid \mathfrak{M} with a partial order \leq .

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Newton polygon method

If $f \in \mathbb{L}_k$ is in Weierstrass position of degree d in z_k , then we can compute the d infinitesimal roots $\alpha_1, \dots, \alpha_d \in \mathbb{K}[[z_{k-1}^{\mathbb{Q}} \times_{\text{lex}} \dots \times_{\text{lex}} z_1^{\mathbb{Q}}]]_{\mathbb{L}}$ of f in z_k .

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Again, $Q, R \in \mathbb{L}_k$, for the same reason as above.

Questions

- Given a finite subset $\mathcal{F} \subseteq \mathbb{L}_k$, can we compute a “basis” for (\mathcal{F}) ?
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Some ingredients

- Recursive approach based on Weierstrass division.
- Also drawing inspiration from Janet-Riquier theory.
- Also use general position using generic linear change of coordinates.

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Let $\alpha_1, \dots, \alpha_d$ be the roots of $\Lambda(f)$.

Then $g \in (f) \iff \Lambda(g)(\alpha_i) = 0$ for $i = 1, \dots, d$.

The bivariate example revisited

12/16

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The bivariate example revisited

12/16

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The bivariate example revisited

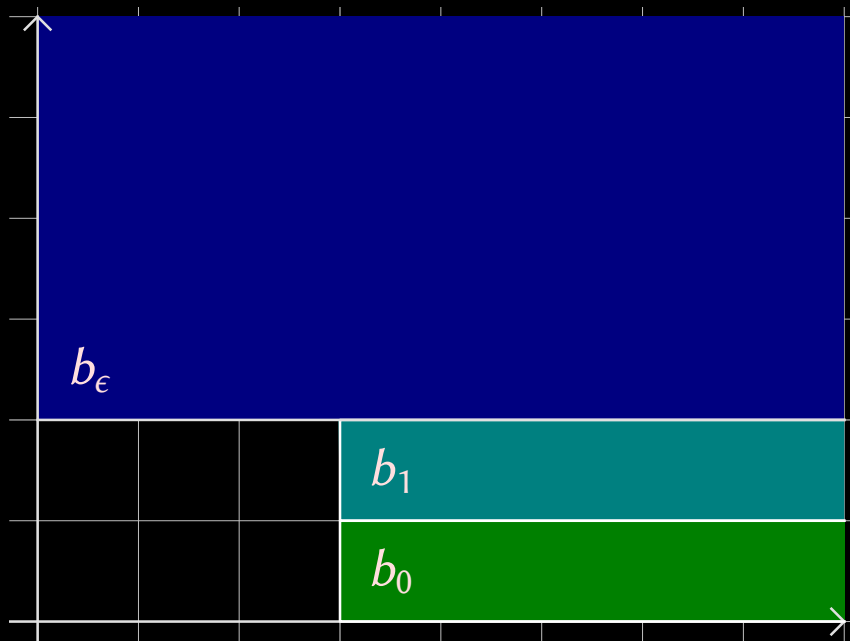
12/16

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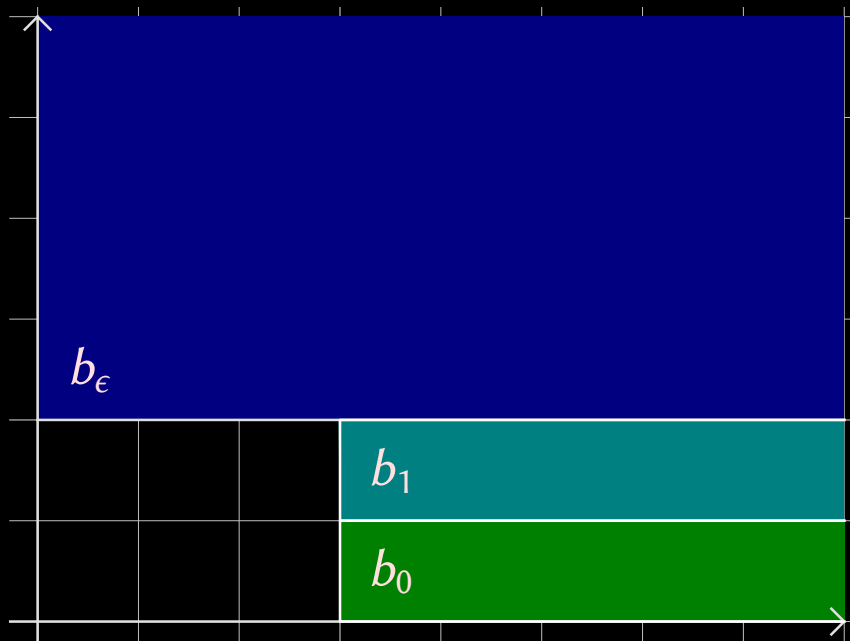
12/16

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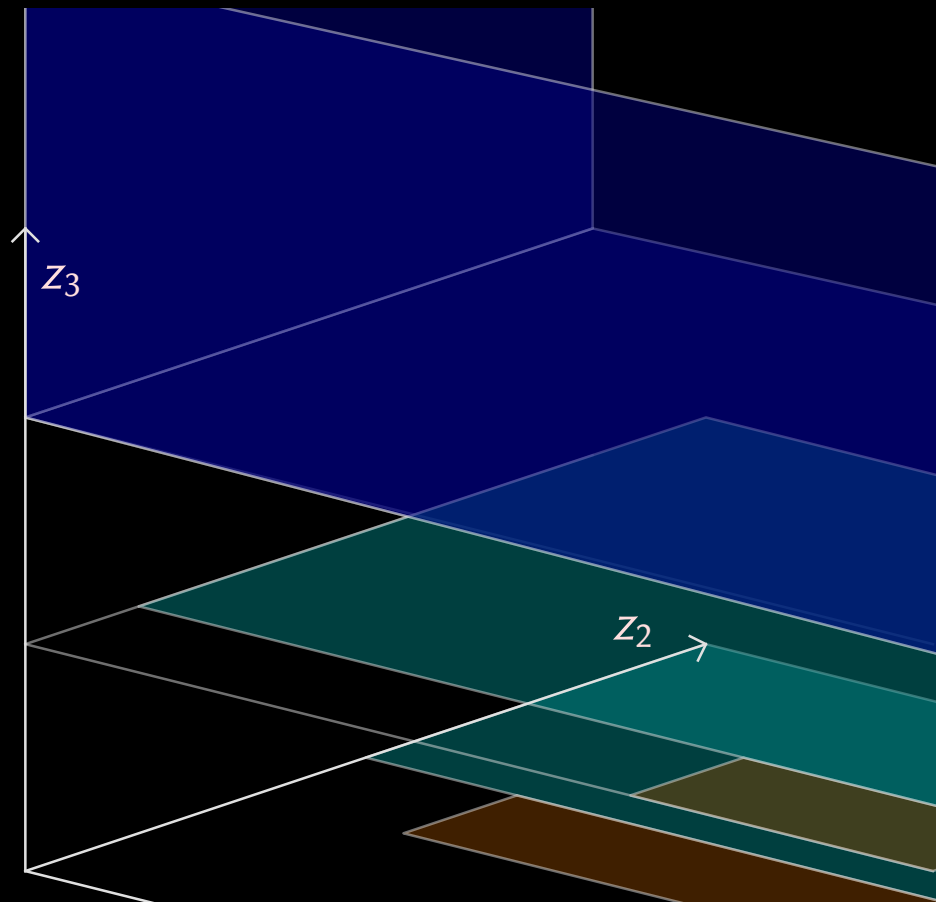
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$$(b_1 z_2) \bmod b_\epsilon = -z_1^4 z_2$$

$$(-z_1^4 z_2) \bmod b_1 = 0$$



$$\mathcal{B} = \{b_\epsilon, b_1, b_0, b_{1,0}, b_{0,2}, b_{0,1}\}$$

$$b_\epsilon = z_3^2 + \cdots \quad (z_1, z_2, z_3)$$

$$b_1 = z_2 z_3 + \cdots \quad (z_1, z_2)$$

$$b_0 = z_2^3 + \cdots \quad (z_1, z_2)$$

$$b_{1,0} = z_1^4 z_3 + \cdots \quad (z_1)$$

$$b_{0,2} = z_1 z_2^2 + \cdots \quad (z_1)$$

$$b_{0,1} = z_1^3 z_2 + \cdots \quad (z_1)$$

$$b_1 z_3, b_0 z_3, b_{1,0} z_3, b_{0,2} z_3, b_{0,1} z_3 \xrightarrow{\mathcal{B}} 0$$

$$b_{1,0} z_2, b_{0,2} z_2, b_{0,1} z_2 \xrightarrow{\mathcal{B}} 0$$

Hilbert function $H(\mathcal{F})$. Just count boxes below staircase, as usual!

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Wanted: standard basis for a graded monomial ordering.

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Let $\mathfrak{m} := (z_1, \dots, z_k)$ be the maximal ideal.

For $v := 0, 1, 2, 3, \dots$ do:

 Compute $B_v \subseteq (\mathcal{F})$ such that B_v is a standard basis for (\mathcal{F}) modulo \mathfrak{m}^{v+1}

 Compute the Hilbert function $H_v := H_{(\text{In}(B_v))}$ for the initial ideal $(\text{In}(B_v))$

 If $H_v = H_{(\mathcal{F})}$, then B_v is the desired standard basis for (\mathcal{F})

$$f_1 = z_1 z_2$$

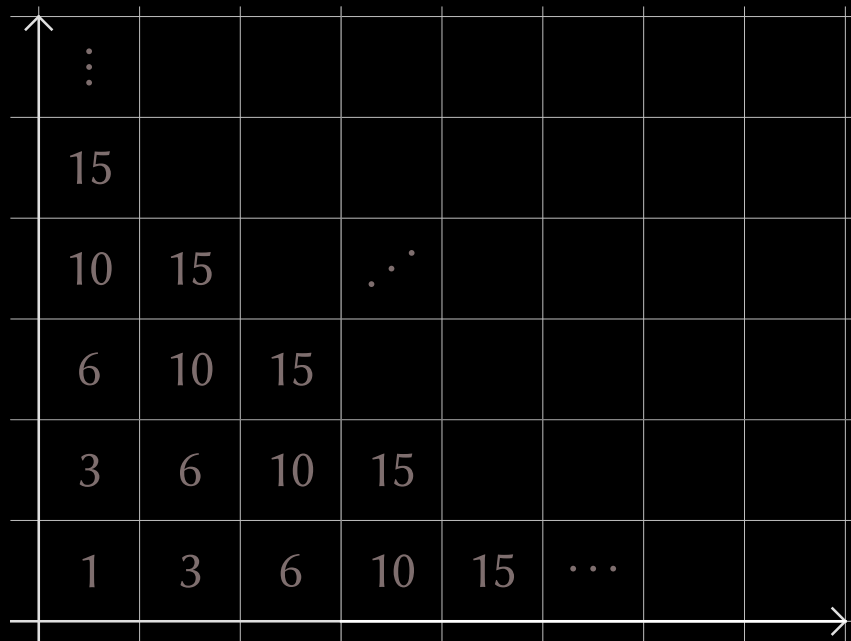
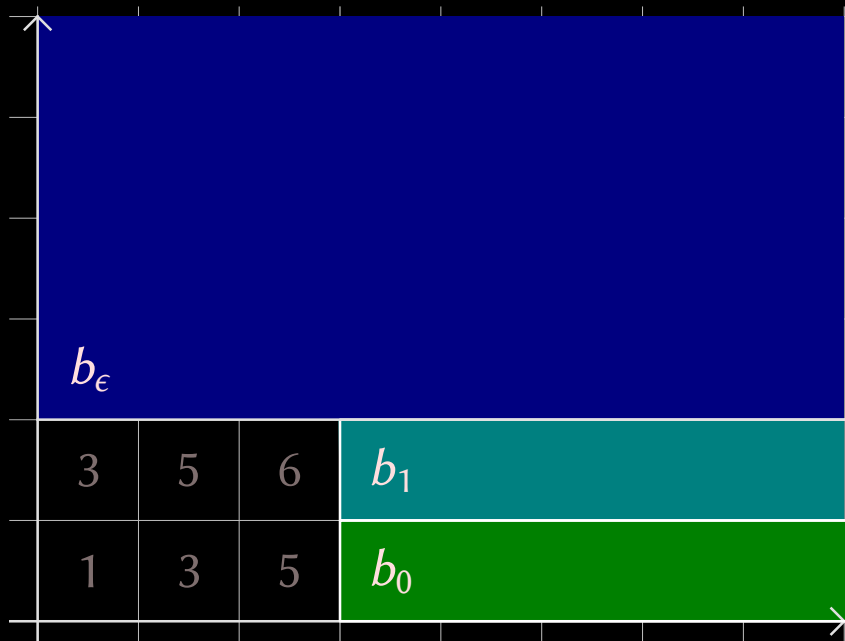
$$f_2 = z_1 z_2 - z_1^3 - z_2^3 + z_1^2 z_2^2$$

$$H_{(\mathcal{F})} = (1, 3, 5, 6, 6, 6, \dots)$$

$$\nu = 0, 1$$

$$B_\nu = \emptyset$$

$$H_{(\ln(B_\nu))} = (1, 3, 6, 10, 15, \dots)$$



$$f_1 = z_1 z_2$$

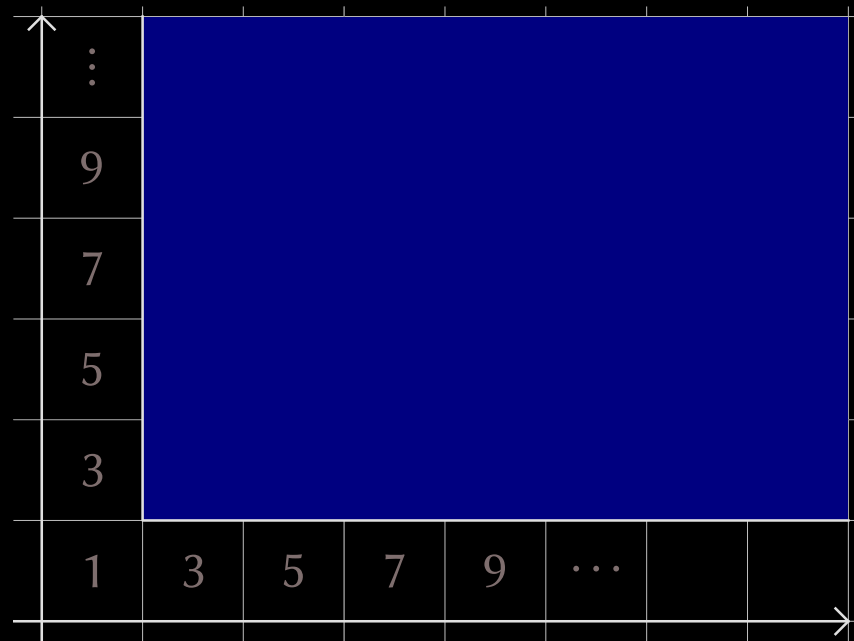
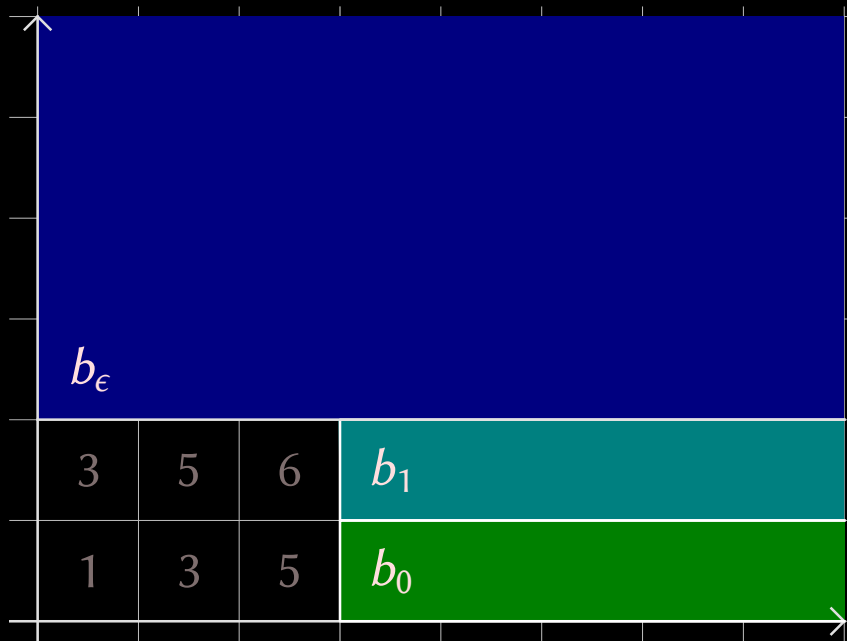
$$f_2 = z_1 z_2 - z_1^3 - z_2^3 + z_1^2 z_2^2$$

$$H_{(\mathcal{F})} = (1, 3, 5, 6, 6, 6, \dots)$$

$$v = 2$$

$$B_2 = \{z_1 z_2\}$$

$$H_{(\ln(B_2))} = (1, 3, 5, 7, 9, 11, \dots)$$



$$f_1 = z_1 z_2$$

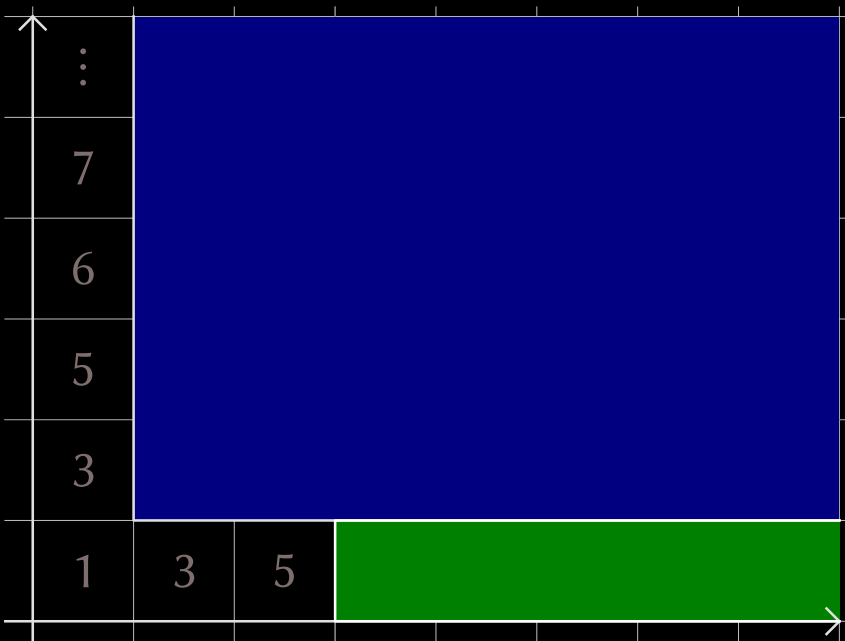
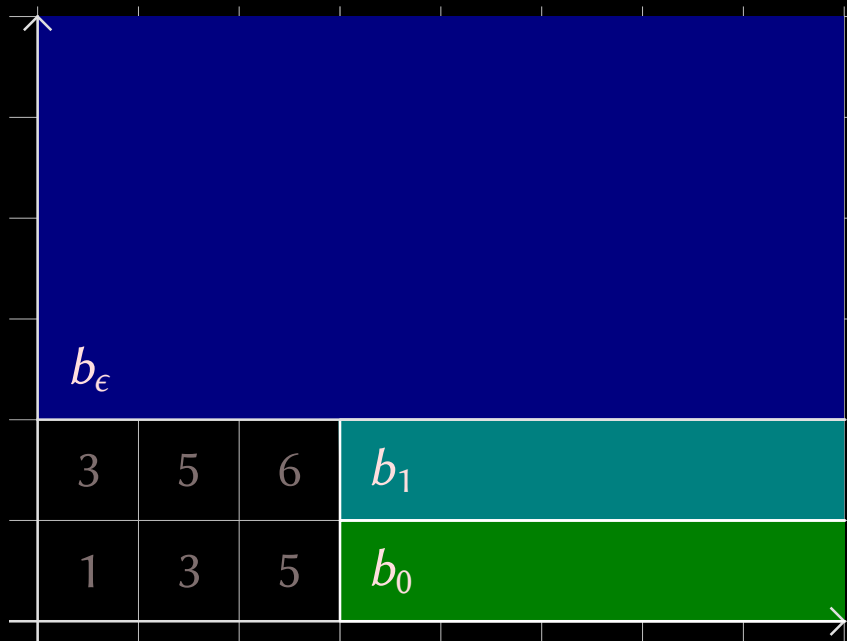
$$f_2 = z_1 z_2 - z_1^3 - z_2^3 + z_1^2 z_2^2$$

$$H_{(\mathcal{F})} = (1, 3, 5, 6, 6, 6, \dots)$$

$$v = 3$$

$$B_3 = \{z_1 z_2, z_1^3 + z_2^3\}$$

$$H_{(\ln(B_3))} = (1, 3, 5, 6, 7, 8, \dots)$$



$$f_1 = z_1 z_2$$

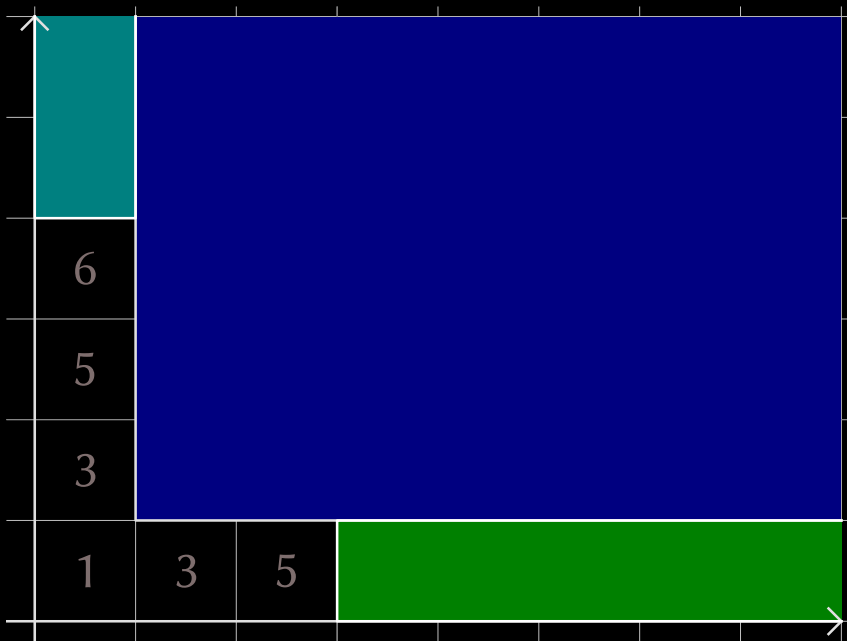
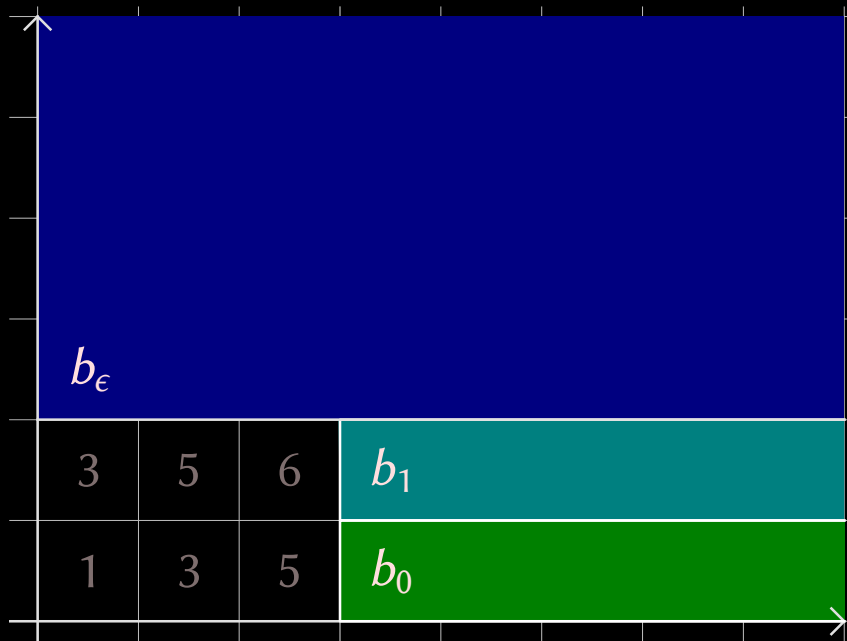
$$f_2 = z_1 z_2 - z_1^3 - z_2^3 + z_1^2 z_2^2$$

$$H_{(\mathcal{F})} = (1, 3, 5, 6, 6, 6, \dots)$$

$$v = 4$$

$$B_4 = \{z_1 z_2, z_1^3 + z_2^3, z_2^4\}$$

$$H_{(\ln(B_4))} = (1, 3, 5, 6, 6, 6, \dots)$$



Thank you !



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